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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

jc912 U.S. PTO
09/731174
12/06/00

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **17 pages**) of:

Inventor(s): **Gregg R. Olin**

For : **A METHOD AND SYSTEM FOR THE CREATION OF A CLASS OF LOAN SECURITIES**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. _____

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☒ **3 sheets** of informal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (____ pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (_____ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (_____ pages) and _____ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (**2 pages**).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

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BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	27 - 20 =	7	x 9 =	\$ 63	OR	x 18 =	\$
INDEP CLAIMS	3 - 3 =	0	x 40 =	\$ 0	OR	x 80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x 135 =	\$ 0	OR	x 270 =	\$
			TOTAL	\$418	OR	TOTAL	\$

*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$418.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Date: December 6, 2000

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EXPRESS MAIL CERTIFICATE

DOCKET NO. : 21764/161
APPLICANTS : Gregg R. Olin
TITLE : A METHOD AND SYSTEM FOR THE CREATION OF A
CLASS OF LOAN SECURITIES

Certificate is attached to the **Utility Patent Application which includes Specification, Claims, and Abstract (17 pages) and UNSIGNED Declaration and Power of Attorney (2 pages)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EL640135740US**
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I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 **Box Patent Application**.

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